

Texte zu EU-Regelungen zur umweltgerechten Produktgestaltung und zur Energieverbrauchskennzeichnung in der Beleuchtung – Zusammenstellung ^[1] des Umweltbundesamtes (UBA), Deutschland



Diskussion über eine künftige Änderungsverordnung (Produktgestaltung)

Anhang II Nummer 2 – SVM-Höchstwert: **Meßwerte von Energimyndigheten ^[2] vom 4. Februar 2020**

Hinweis: Bitte beachten Sie, daß der angehängte Text nur in Englisch verfaßt ist.

EN: Information on EU Lighting Regulations – Ecodesign and Energy Labelling – Compilation ^[1] of the Federal Environment Agency (UBA), Germany

Discussion of a future amending regulation (Product Design)

Annex II.2 – SVM limit value: Measured values by Energimyndigheten ^[2] as of 4 February 2020

FR: Informations sur réglementations de l'UE concernant l'éclairage – l'écoconception et l'étiquetage énergétique – Compilation ^[1] de l'Agence Fédérale de l'Environnement (UBA), Allemagne

Discussion d'un futur règlement modificatif (Conception des produit)

Annexe II, point 2 – Valeur maximale du SVM : Valeurs mesurées par Energimyndigheten ^[2] de 4 février 2020

Indication : Veuillez noter que le présent texte n'est disponible qu'en anglais.

^[1] <https://www.eup-network.de/de/eup-netzwerk-deutschland/offenes-forum-eu-regelungen-beleuchtung/dokumente/texte/>

^[2] Energimyndigheten ist die staatliche Energieagentur Schwedens ◇ **EN:** Energimyndigheten is the national Energy Agency of Sweden (SEA) ◇ **FR :** Energimyndigheten et l'administration nationale suédoise de l'énergie ; <https://www.energimyndigheten.se/en/>

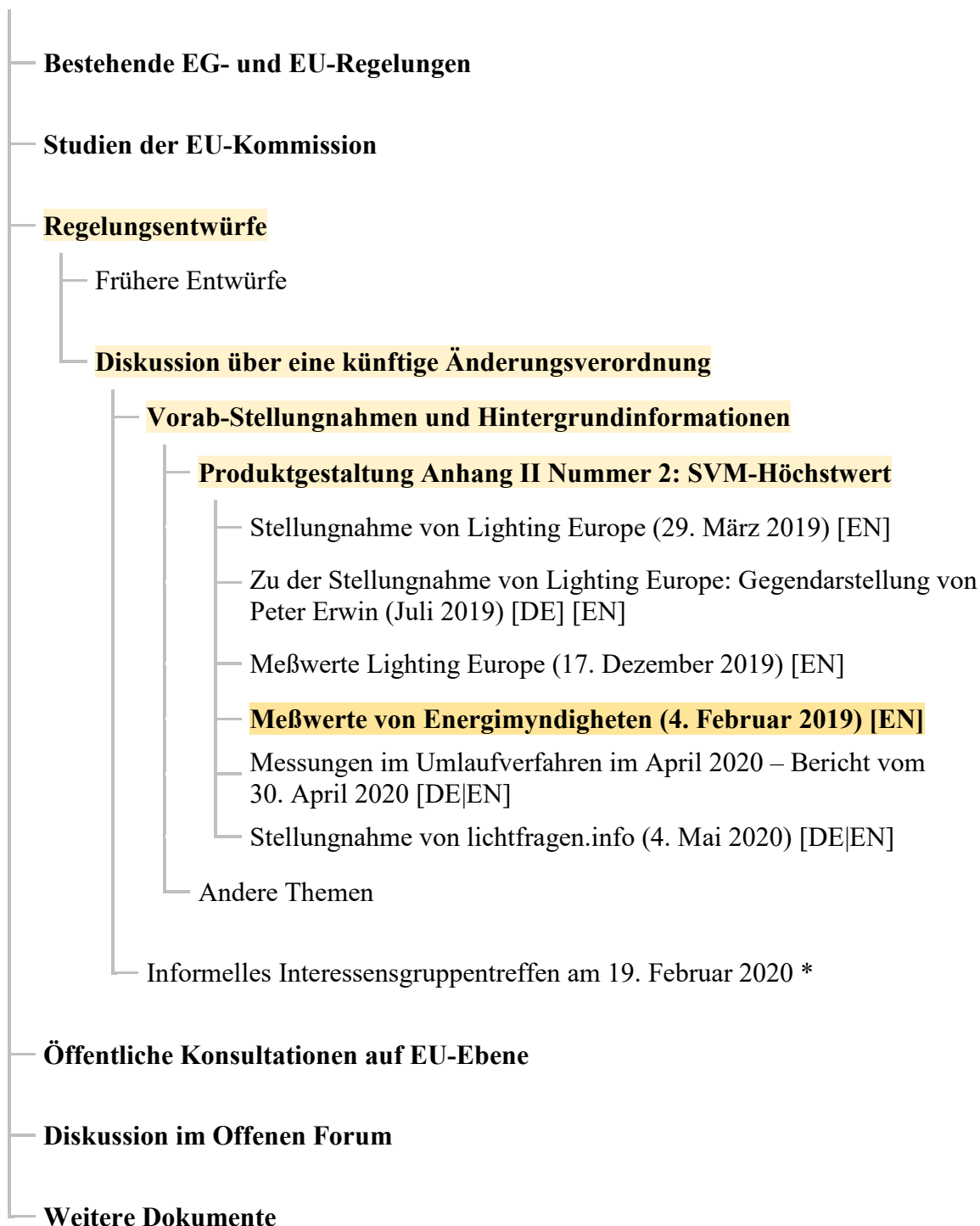
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EN: → page III

FR : → page IV

Texte im Offenen Forum

(abc = vorliegender Text)



* Stand 20. März 2020: Diese Texte stehen noch nicht zur Verfügung.

Abkürzungen: • EG = Europäische Gemeinschaft • Energimyndigheten ist die staatliche Energieagentur Schwedens; <https://www.energimyndigheten.se/en/> • EU = Europäische Union • SVM : Maß für die Sichtbarkeit des Stroboskopeffektes

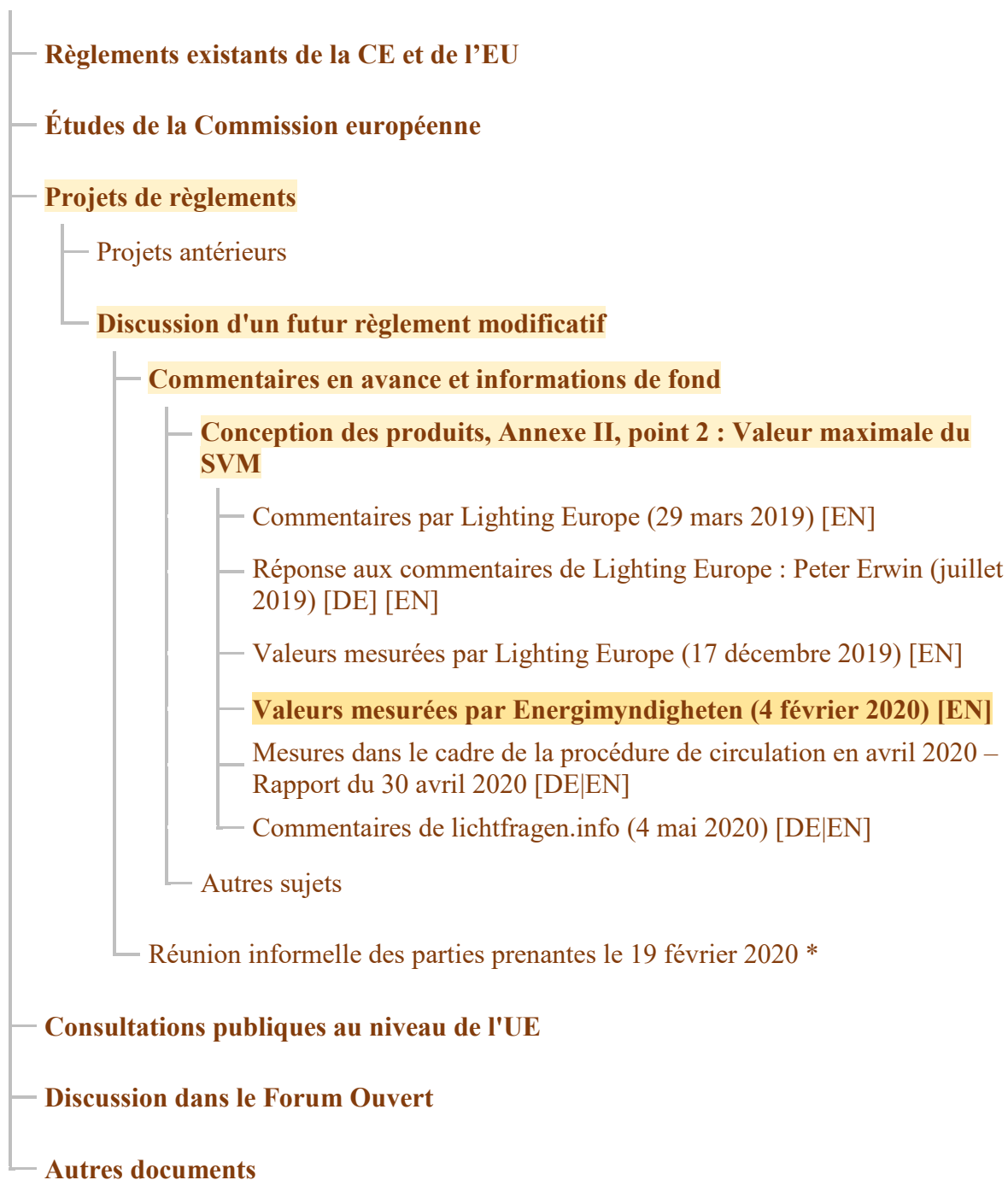
Documents in the Open Forum

(**abc** = text at hand)



* Status as of 20 March 2020: These texts are not yet available.

Abbreviations: • EC = European Communities • Energimyndigheten is the national Energy Agency of Sweden (SEA); <https://www.energimyndigheten.se/en/> • EU = European Union • SVM = Stroboscopic Visibility Measure



* État au 20 mars 2020 : Ces textes ne sont pas encore disponibles.

Abréviations : ● CE = Communauté européenne ● Energimyndigheten et l'administration nationale suédoise de l'énergie ; <https://www.energimyndigheten.se/en/> ● SVM : mesure de la visibilité stroboscopique ● UE = Union européenne

Es folgt ein unveränderter Originaltext.

EN: The following is an unmodified original text.

FR: Ce qui suit est un texte original.

Testing Report

Product Testing for P_{st}^{LM} and SVM

A report discussing the measured values of short-term light modulation (P_{st}^{LM}) and stroboscopic visibility measure (SVM) for six types of LED retrofit lamp types covered by the new Ecodesign lighting regulation

Foreword

This is a report providing findings from an on-going product testing study assessing the P_{st}^{LM} and SVM values for six types of retrofit LED lamps. These lamps were identified by LightingEurope as being at risk of being 'phased out' due to the stringency of the SVM requirements in the new draft lighting policy measure. The six LED lamp types investigated in this study are:

- 1) LEDtube – retrofit LED lamp for a linear fluorescent tube
- 2) LEDspot MV – mains voltage (GU10) spot lamp (PAR16)
- 3) Classic filament LED lamps – mains voltage A-type LED filament lamps
- 4) LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules
- 5) LEDlinear R7s – LED replacement lamp for linear R7s halogen lamps
- 6) LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp

A final report on our test results will be prepared and circulated after the Technical Workshop in February 2020. We invite stakeholders to comment on this draft report. Any questions about this work should be directed to Peter Bennich at the Swedish Energy Agency.

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Acronyms and Abbreviations

| | |
|---------------|---|
| CE | Conformité Européenne |
| CRI | Colour Rendering Index |
| DTU | Technical University of Denmark |
| EMC | Electromagnetic Compatibility |
| EU | European Union |
| HID | High Intensity Discharge |
| IEC | International Electrotechnical Commission |
| LED | Light Emitting Diode |
| MLS | Mains-connected Light Source |
| OLED | Organic Light Emitting Diode |
| P_{st}^{LM} | Short Term Light Modulation |
| SVM | Stroboscopic Visibility Measure |
| UBA | German Federal Environment Agency |

1 Introduction

On 17 December 2018, the Member State representatives of the Ecodesign Regulatory Committee met in Brussels to discuss the European Commission's draft policy measure for lighting. This policy measure brings together three ecodesign regulations of lighting products into one measure, incorporating requirements for domestic and professional applications, and encompassing all lighting technologies, from incandescent through to light emitting diode (LED).

Member State Representatives debated and discussed the regulation, and the key requirements contained therein. The amended draft policy measure was agreed by a majority of EU Member States, and on 7 February 2019 the Commission forwarded it to the European Council and Parliament. There was no objection in the Environment Committee, therefore no vote was held. The scrutiny period ended on 7 May 2019, and no feedback had been given to the Commission, which is understood to be the equivalent of approval. The Regulation was adopted by the College, and the policy was published in the Official Journal of the European Union on 5 December 2019 and entered into force 20 days later on 25 December 2019.¹

The European Commission's draft lighting regulation under the Ecodesign Directive sets out mandatory product performance and quality requirements on all lighting products placed on the market in Europe starting on 1 September 2021. The title of the measure is as follows:

Commission Regulation (EU) 2019/2020 of 1 October 2019 laying down ecodesign requirements for light sources and separate control gears pursuant to Directive 2009/125/EC of the European Parliament and of the Council and repealing Commission Regulations (EC) No 244/2009, (EC) No 245/2009 and (EU) No 1194/2012 (Text with EEA relevance).

In Annex I, Definitions applicable for the Annexes, the terms flicker and stroboscopic effect are defined as follows:

- (50) 'flicker' means the perception of visual unsteadiness induced by a light stimulus, the luminance or spectral distribution of which fluctuates with time, for a static observer in a static environment. The fluctuations can be periodic and non-periodic and may be induced by the light source itself, the power source or other influencing factors.

The metric for flicker used in this Regulation is the parameter ' P_{st}^{LM} ', where 'st' stands for short term and 'LM' for light flickermeter method, as defined in standards. A value $P_{st}^{LM} = 1$ means that the average observer has a 50 % probability of detecting flicker;

¹ Commission Regulation (EU) 2019/2020 of 1 October 2019 laying down ecodesign requirements for light sources and separate control gears pursuant to Directive 2009/125/EC of the European Parliament and of the Council and repealing Commission Regulations (EC) No 244/2009, (EC) No 245/2009 and (EU) No 1194/2012 (Text with EEA relevance). https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2019.315.01.0209.01.ENG&toc=OJ:L:2019:315:TOC

- (51) 'stroboscopic effect' means a change in motion perception induced by a light stimulus, the luminance or spectral distribution of which fluctuates with time, for a static observer in a non-static environment. The fluctuations can be periodic and non-periodic and may be induced by the light source itself, the power source or other influencing factors.

The metric for the stroboscopic effect used in this Regulation is the 'SVM' (stroboscopic visibility measure), as defined in standards. SVM = 1 represents the visibility threshold for an average observer;

In Annex II, Table 4 of the draft policy measure for light sources and separate control gear, requirements for maximum allowable levels of P_{st}^{LM} and SVM are given. The limits applied to all LED and OLED light sources are as follows:

- $P_{st}^{LM} \leq 1,0$ at full-load
- $SVM \leq 0,4$ at full-load (except for HID with $\Phi_{use} > 4$ klm and for light sources intended for use in outdoor applications, industrial applications or other applications where lighting standards allow a CRI<80)

LightingEurope has expressed concern about the level of ambition associated with the SVM requirements in the policy measure and on 29 March 2019, they issued four documents on this topic. These four documents were published by the Federal Environment Agency (UBA) in Germany, and can be [downloaded by clicking on this link](#). The four documents are:

- a) $SVM \leq 0.4$: Simple Explanation
- b) $SVM \leq 0.4$: Impact on LED & OLED mains-connected light sources (MLS)
- c) Stroboscopic effect: Visibility, Acceptability and Health concern
- d) Stroboscopic effect: List of references

In these documents, LightingEurope warns that LED lighting products cannot be produced which meet these stringent SVM requirements along with the other regulatory requirements such as the Electromagnetic Compatibility Directive, while conforming to the small form-factor necessary for these lamps to fit into existing luminaires.

As products currently on the market are not sold with information relating to SVM and P_{st}^{LM} , there has been until now limited evidence as to the quantities of compliant LED products on the market. To provide some evidence and address the concerns of industry, several countries decided to work together, to purchase LED lamps from across the European markets and conduct laboratory testing on these lamps to better understand the concerns expressed by LightingEurope. In Europe, the countries working together on this testing study are Denmark, Sweden and the United Kingdom. Australia has also supported the work, with test data on "CE" marked products offered for sale in Australia (which has nominally the same AC mains voltage and frequency as Europe). The countries also obtained test data from CLASP Europe, who had contracted a UK laboratory to conduct testing on 60 A-type lamps in November 2018.

This document presents the findings of our on-going research on a variety of different lamp types. A final report will be prepared when the testing is complete. Ultimately, this study is intended to serve as part of the 'evidence base' to support decision-makers by providing product test data relating to LED light sources in the market.

The structure of this Interim Report is as follows:

Chapter 2. Issue: Summary of the Problem Statement from Industry

Chapter 3. Methodology: Market Research and Laboratories

Chapter 4. Findings: Test Results by Lamp Type


Chapter 5. Conclusions and Discussion

DRAFT

2 Issue: Summary of the Problem Statement from Industry

The clearest way to summarise the position of LightingEurope is to reproduce a few of their slides that were published by the Federal Environment Agency in Germany ([click here to download the files](#)). These slides convey what LightingEurope expects to happen if the SVM requirement on LED lamps and luminaires is upheld.

LightingEurope writes that many popular retrofit LED lamps will be unintentionally phased out from the market due to the proposed stringent requirement of $SVM \leq 0.4$.



SVM ≤ 0.4 impact on LED lighting

Summary:

- Important objective of the EU Ecodesign revision for Lighting ("single lighting regulation") is a further limitation of energy usage by more than 40 TWh annually by 2030.
- The SVM ≤ 0.4 requirement for LED and OLED MLS is damaging this objective since it:
 - Increases the energy consumption with 3% - 5% due to the additional required components and losses
 - Impedes small ($P \leq 25W$) dimmable retrofit LED bulbs (A60, GU10, Candles, T5) due to their size limitations
 - Impedes IoT solutions with RF Connected retrofit LED bulbs due to their size limitations
 - Increases the cost due to additional components

Recommendation:
The EU Ecodesign revision objectives can be achieved by increasing the SVM requirement from 0.4 to 1.6

Figure 1. Summary of LightingEurope position and request for revised SVM level

SVM ≤ 0.4 impact on LED lighting

Summary:

Important objective of the EU Ecodesign revision for Lighting ("single lighting regulation") is a further limitation of energy usage by more than 40 TWh annually by 2030.

The SVM ≤ 0.4 requirement for LED and OLED MLS is damaging this objective since it increases the energy consumption with 3% - 5% due to the additional required components and losses

The consumer will be dissatisfied:

Unintentional phasing out of light sources with small caps, and

The consumer is left behind with luminaires without light sources, thus with "empty sockets"

Recommendation:

The EU Ecodesign revision objectives can be achieved by increasing the SVM requirement from 0.4 to 1.6

Figure 2. Summary of LightingEurope expected impact of SVM requirement

On the slide depicted in Figure 3, it reads: "*The LED replacement light sources of these product families are unintentionally phased out due to too strict SVM requirements.*" LightingEurope notes that "The electronics for 'very strict SVM' do not fit in the cap."

Product families at stake due to small size



LEDtube



Industry & retail



office



LEDspot MV



residential

Hospitality: bars,
restaurants,
hotels,...



Classic filament LED lamps



residential



Hospitality: bars,
restaurants,
hotels,...

The conventional light sources of these product families are phased out due to energy requirements. The LED replacement light sources of these product families are unintentionally phased out due to too strict SVM requirements. The electronics for 'very strict SVM' do not fit in the cap.

Figure 3. Product families LightingEurope expects to be "unintentionally phased out" due to SVM requirements

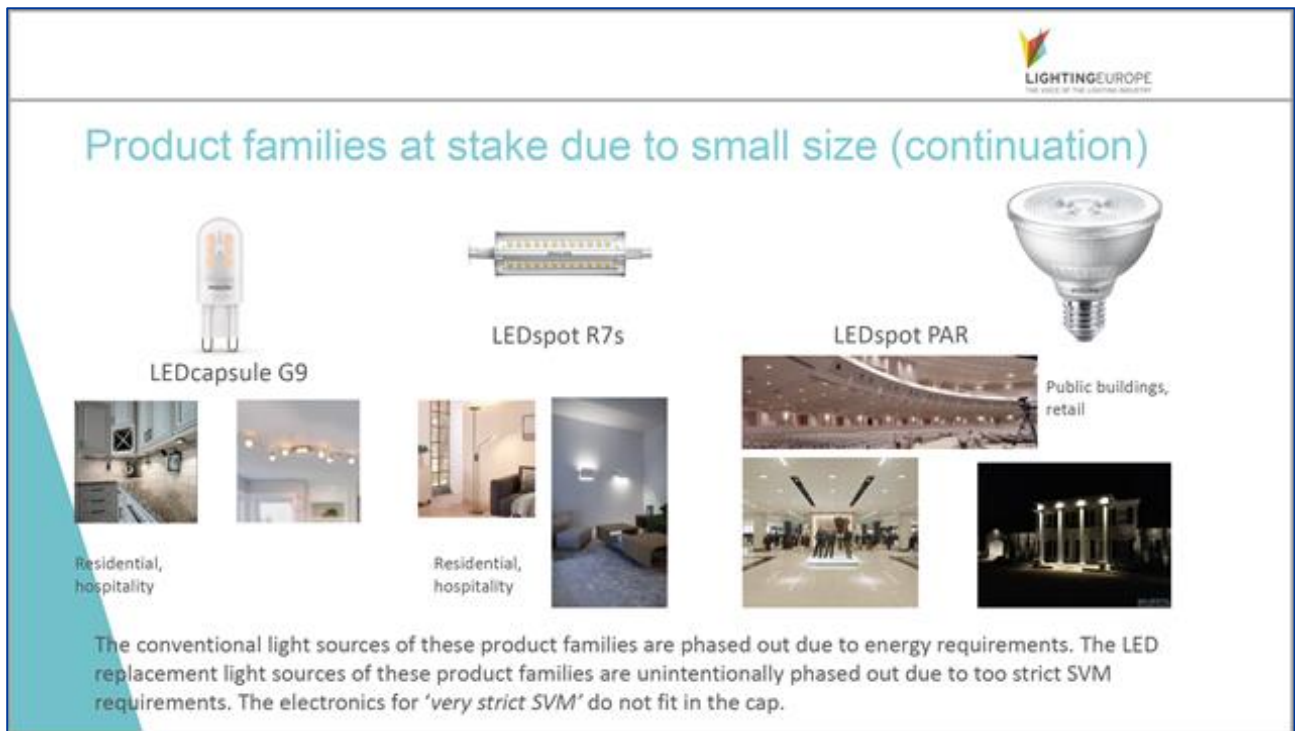


Figure 4. Additional product families LightingEurope expects to be “unintentionally phased out” due to SVM requirements

LightingEurope then continues by saying that luminaires across Europe which use these lamp types will be left with “empty sockets” because no LED products will be available that can meet these strict SVM requirements. The six retrofit LED lamp types identified by LightingEurope to be at risk are:

- 1) LEDtube – retrofit LED lamp for a linear fluorescent tube
- 2) LEDspot MV – mains voltage (GU10) spot lamp (PAR16)
- 3) Classic filament LED lamps – mains voltage A-type LED filament lamps
- 4) LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules
- 5) LEDlinear R7s – LED replacement lamp for linear R7s halogen lamps
- 6) LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp

These six lamp types are the ones that were investigated in this study.

3 Methodology: Market Research and Laboratories

The countries working together decided to purchase and test LED retrofit lamps in all six categories identified by LightingEurope as being at risk. Purchasing was coordinated between the governments and that the testing burden was shared. This interim report provides the data and progress to date. A final version of this report incorporating the findings from additional research being conducted now will be prepared for circulation with all stakeholders after the Technical Meeting in February 2020.

LED retrofit lamps were purchased from retailers (both in-store and on-line) in the following countries:

- Belgium
- Denmark
- France
- The Netherlands
- Sweden
- United Kingdom

Additionally, lamps were purchased in Australia by the Australian government for benchmark testing and were included in this analysis if they had a “CE” mark. The CE mark is a manufacturer’s declaration of conformity that the lamp complies with all the European regulatory requirements. Given that Australian households have nominally the same AC mains voltage and frequency, these models were considered relevant to the study.

Table 1 presents the two technical reports from the International Electrotechnical Commission (IEC) that contained the test methods used to measure P_{st}^{LM} and SVM in the samples.

Table 1. Test Methods used by the labs to measure P_{st}^{LM} and SVM

| Metric | Test Method |
|---------------|--|
| P_{st}^{LM} | IEC TR 61547-1:2017 “Technical Report: Equipment for general lighting purposes – EMC immunity requirements – Part 1: An objective voltage fluctuation immunity test method.” |
| SVM | IEC TR 63158-1:2018 “Technical Report: Equipment for general lighting purposes - Objective test method for stroboscopic effects of lighting equipment.” |

Table 2 identifies the four laboratories where the testing was conducted in Australia, Denmark, Sweden and the United Kingdom.

Table 2. Laboratories that measured P_{st}^{LM} and SVM

| Denmark | Sweden |
|---|---|
| The Technical University of Denmark – DTU - Fotonik Risø Campus Frederiksborgvej 399 Building 130 4000 Roskilde Denmark | Statens Energimyndighet Testlab Rosenlundsgatan 9 SE-118 53 Stockholm Sweden |
| United Kingdom | Australia |
| Lux-TSI Unit 1B Pencoed Technology Park Pencoed, Bridgend CF35 5AQ Wales United Kingdom | LEDLab - Light Emission Distribution Laboratory 4/140 George Street Hornsby, NSW, 2077 Australia LightLab International 50 Redcliffe Gardens Drive Clontarf QLD 4019 Australia In addition, some bench-top assessments were conducted by Light Naturally, Suite 1B/17 Peel Street, Brisbane, Australia. |

Approximately half of the lamp models reported in this study were purchased in small batches of 3 units. The reported test result then used in this study is the average of those three units. Other lamp models were simply one unit purchased, tested and reported. Dimmable versions of the lamps had their P_{st}^{LM} and SVM tested at full-load, as per the requirements given in the draft regulation (Table 4, Annex II Ecodesign Requirements).

Out of a sample of 30 models tested with a sample size of 3 units each (i.e., a total of 90 lamps), the deviation between individual models was found to be extremely small, except for the P_{st}^{LM} measurement of one lamp (TP0012²). Figure 5 presents the distribution of sample average and min/max for each model shown with bars above and below the model average. Given that these deviations are so small – in most cases, the min/max values above and below the model average aren't even visible in the graph - we decided to report the sample (n=3) averages and the individual (n=1) test results in the same graphs. Please note the difference in the scale of the Y-axes.

² The three samples of TP0012 showed large variations on especially P_{st}^{LM} , and the measurements were repeated which showed consistent results.

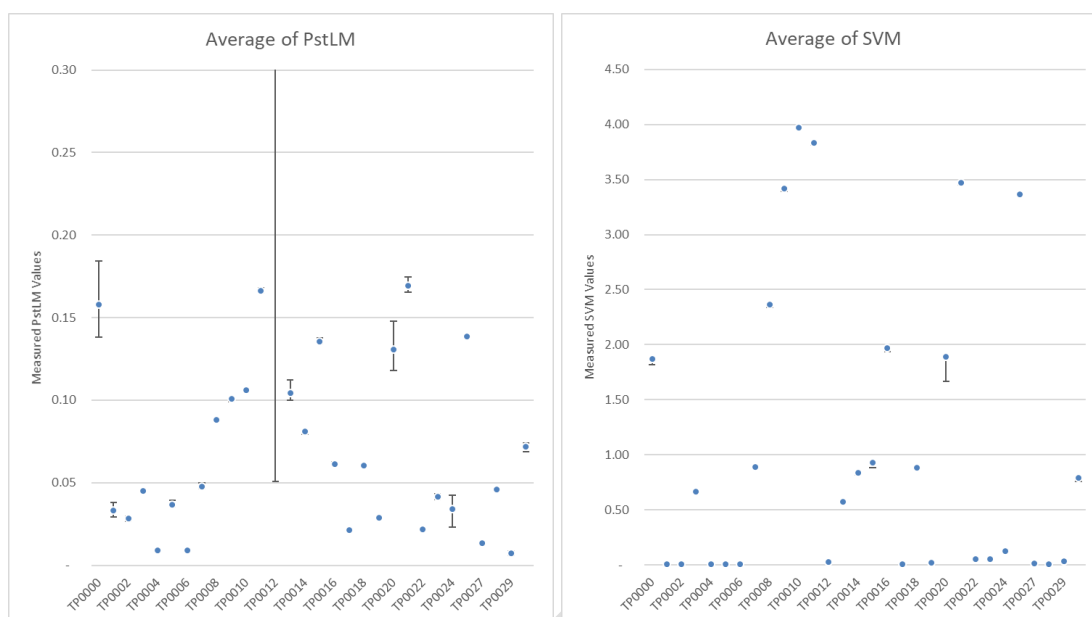








Figure 5. Scatter plot of averages with bars representing maximum and minimum measurements of P_{st}^{LM} and SVM values (separately) of 30 LED lamp models tested by DTU Fotonik

Finally, note that our work on this topic is continuing, and we will be updating this report in the future with the findings from our on-going research, including additional purchasing and testing of the LED retrofit lamp types of interest (i.e., some of the tables and graphs in this report will be updated) and continuing to study the circuit designs used in lamps with low and high SVM levels to enable us to make recommendations in the future as to good design practice for compliance.

4 Test Results for the Six Lamp Types




As discussed in Chapter 2, there are six lamp types which LightingEurope expressed concerns would be “phased out” due to the new SVM requirement.

Table 3. List of LED retrofit lamp types that LightingEurope considers to be at risk

| Lamp Type Considered | Picture |
|--|---|
| LEDtube – retrofit LED lamp for a linear fluorescent tube |  |
| LEDspot MV – mains voltage (GU10) spot lamp (PAR16) |  |
| Classic filament LED lamps – mains voltage A-type LED filament lamps |  |
| LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules |  |
| LEDlinear R7s – LED replacement lamp for linear R7s halogen lamps |  |
| LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp |  |

In this chapter we present the findings from the testing conducted on lamps from all of those categories by the lighting laboratories in the four countries. In total, over 100 models of LED retrofit lamps (more than 200 individual units) across the six categories were tested to measure their P_{st}^{LM} and SVM levels. The tables of test results are sorted in ascending values of SVM. The tables incorporate three different colours of shading, as described in Table 4.

Table 4. Key depicting the colour shading used in the test results tables in Chapter 4

| Shading | Description | Values |
|---|---|---|
|  | Lamp is compliant with the new draft lighting regulation | $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ |
|  | Lamp(s) that would be added if LightingEurope’s request to raise SVM to 1.6 is adopted | $P_{st}^{LM} \leq 1.0$ and $SVM \leq 1.6$ |
|  | Lamps that fail either P_{st}^{LM} or SVM requirements, even the higher SVM requested by LightingEurope | $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ |

4.1 LEDtube – LED Retrofit for Tubular Fluorescent Lamps

The LED retrofit tube market has some models that are already compliant with the regulation and some that are not. There are models which far exceed the P_{st}^{LM} and SVM requirements in the draft regulation. Other models comply with slightly higher P_{st}^{LM} values. There were no models of T8 or T5 in our sample which were marketed as dimmable. Nine of them said they were not and two were not declared. There were five models in our sample of eleven which did not comply with the two requirements of P_{st}^{LM} and SVM. Only one model in this sample would be added if the SVM limit were raised to 1.6.

Table 5. Measured P_{st}^{LM} and SVM for tubular LED lamps that replace fluorescent lamps

| Lab ID Code | Type | Dimmable | P_{st}^{LM} | SVM |
|-------------|------|--------------|---------------|-------|
| TP0029 | T8 | No | 0.007 | 0.030 |
| TP0022 | T8 | Not declared | 0.022 | 0.053 |
| TP0023 | T8 | Not declared | 0.042 | 0.055 |
| TP0024 | T5 | No | 0.034 | 0.127 |
| LNLED180 | T8 | No | 0.686 | 0.160 |
| LNLED184 | T8 | No | 0.861 | 0.172 |
| LNLED182 | T8 | No | 0.983 | 1.185 |
| LNLED181 | T8 | No | 1.113 | 1.818 |
| TP0020 | T8 | No | 0.131 | 1.893 |
| TP0026 | T8 | No | 0.139 | 3.366 |
| TP0021 | T8 | No | 0.170 | 3.471 |

Figure 6 depicts these 11 models on a graph of P_{st}^{LM} and SVM. Blue dots appearing in the green region within the orange box are compliant with the requirements in the draft regulation. Those above the box and to the right of it do not comply.

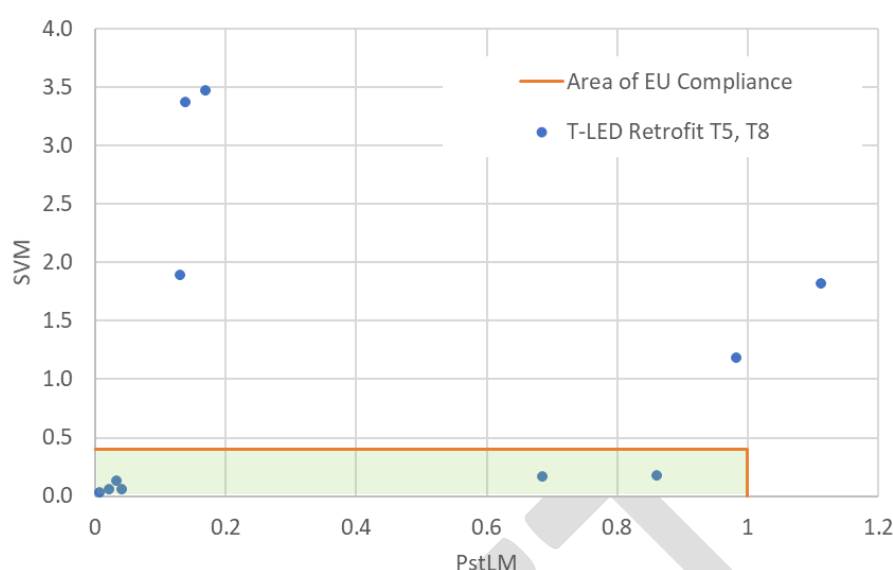


Figure 6. Plot of the T-LED Retrofit Lamps depicting the measured P_{st}^{LM} and SVM

Table 6. Summary analysis for the tubular LED retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 11 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 6 (54%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (9%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 4 (36%) |
| Total number of dimmable models in the sample | 0 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | n/a |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | n/a |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | n/a |

4.2 LEDspot MV – Mains Voltage (GU10) Spot Lamp (PAR16)

LED GU10 (mains voltage) spot lamps have a small form factor, but manufacturers have been able to design drivers that already comply with the P_{st}^{LM} and SVM requirements in both dimmable and non-dimmable models. Table 7 and Table 8 below list the GU10 LED PAR 16 lamps that were tested by the laboratories. In total, there were 36 different models tested, 16 non-dimmable and 20 dimmable models.

As shown by the coloured shading in Table 7, 12 of the 16 non-dimmable lamps already meet the P_{st}^{LM} and SVM requirements in the draft regulation. If the level for SVM were increased to 1.6, it would add two more models to the 12 that pass at 0.4. There was one model with a high P_{st}^{LM} (1.072) and one that had a high SVM (1.976).

Table 7. Measured P_{st}^{LM} and SVM for Mains Voltage (GU10) Spot Lamps (PAR16) – Non-Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|-------|-----------|---------------|-------|
| LNLED179 | PAR16 | No | 0.921 | 0.000 |
| 3286-1 | PAR16 | No | 0.017 | 0.002 |
| LNLED003 | PAR16 | No | 0.053 | 0.002 |
| 3307-1 | PAR16 | No | 0.011 | 0.003 |
| LNLED129 | PAR16 | No | 0.015 | 0.006 |
| LNLED153 | PAR16 | No | 0.037 | 0.006 |
| TP0004 | PAR16 | No | 0.009 | 0.006 |
| SB5 | PAR16 | No | 0.025 | 0.008 |
| LNLED099 | PAR16 | No | 0.033 | 0.008 |
| LNLED128 | PAR16 | No | 0.024 | 0.011 |
| 3279-1 | PAR16 | No | 0.019 | 0.013 |
| LNLED097 | PAR16 | No | 0.079 | 0.013 |
| LNLED177 | PAR16 | No | 1.072 | 0.119 |
| LNLED174 | PAR16 | No | 0.822 | 0.916 |
| LNRD017 | PAR16 | No | 0.084 | 1.446 |
| LNRD019 | PAR16 | No | 0.087 | 1.976 |

For dimmable lamps, Table 8 shows that 10 of the 20 dimmable lamps already meet the P_{st}^{LM} and SVM requirements in the draft regulation. Raising the limit of SVM to 1.6, would add 9 more models to the 10 that pass at the 0.4 level. One dimmable GU10 lamp is shaded red due to its SVM of 1.869 and no lamps failed due to P_{st}^{LM} .

Table 8. Measured P_{st}^{LM} and SVM for Mains Voltage (GU10) Spot Lamps (PAR16) – Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|-------|-----------|---------------|-------|
| LNRD036 | PAR16 | Yes | 0.017 | 0.002 |
| 3275-1 | PAR16 | Yes | 0.024 | 0.002 |
| 3275-3 | PAR16 | Yes | 0.025 | 0.003 |
| TP0005 | PAR16 | Yes | 0.037 | 0.004 |
| TP0001 | PAR16 | Yes | 0.033 | 0.004 |
| TP0002 | PAR16 | Yes | 0.029 | 0.004 |
| 3350-1 | PAR16 | Yes | 0.009 | 0.011 |
| 3349-3 | PAR16 | Yes | 0.015 | 0.026 |
| LNLED189 | PAR16 | Yes | 0.189 | 0.055 |
| LNRD018 | PAR16 | Yes | 0.034 | 0.335 |
| LNLED146 | PAR16 | Yes | 0.055 | 0.632 |
| LNLED098b | PAR16 | Yes | 0.051 | 0.634 |
| TP0003 | PAR16 | Yes | 0.045 | 0.664 |
| 3306-3 | PAR16 | Yes | 0.023 | 0.712 |
| 3306-1 | PAR16 | Yes | 0.030 | 0.758 |
| 3305-1 | PAR16 | Yes | 0.031 | 0.782 |
| TP0030 | PAR16 | Yes | 0.072 | 0.791 |
| LNRD035 | PAR16 | Yes | 0.065 | 0.926 |
| LNLED035 | PAR16 | Yes | 0.766 | 1.096 |
| TP0000 | PAR16 | Yes | 0.158 | 1.869 |

Figure 7 depicts these 36 models on a graph of P_{st}^{LM} and SVM. Those dots which appear in the green region within the orange box are already compliant with the requirements in the draft regulation. The blue dots depict the dimmable lamps and the green dots are the non-dimmable ones. Note: not all models are visible in the graph, as the axes are zoomed in on the lower values for the lamps, in order to see where the models fall.

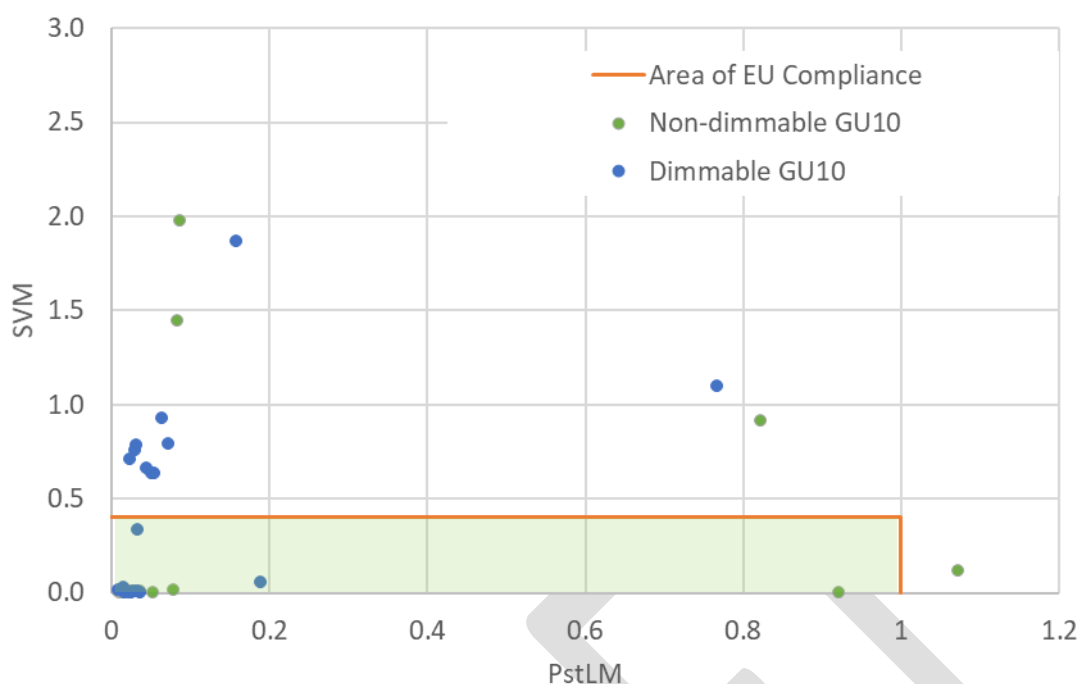


Figure 7. Plot of the PAR16 GU10 Lamps depicting the measured P_{st}^{LM} and SVM

Table 9. Summary analysis for the GU10 PAR16 retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 36 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 22 (62%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 11 (30%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 3 (8%) |
| Total number of dimmable models in the sample | 20 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 10 (50%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 9 (45%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 1 (5%) |

4.3 Classic filament LED lamps – mains voltage A-type LED filament lamps

The classic filament LED lamps are based on the design of an incandescent or halogen lamps, whereby the lamp operates on mains voltage, but the driver is usually wholly contained in the end cap. Even though the end cap (B22, E27) is very small, we found that most of the tested lamps already comply with the P_{st}^{LM} and SVM requirements in both dimmable and non-dimmable models. The two tables below list the lamps that were tested for this study. In total, 17 non-dimmable models and 8 dimmable models were tested.

As shown in Table 10, 15 of the 17 non-dimmable model lamps already meet the P_{st}^{LM} and SVM requirements in the draft regulation. If the level for SVM were increased to

1.6 as requested by LightingEurope, it would not enable any additional models to pass the SVM level. Two models fail, one on SVM only and the other on P_{st}^{LM} and SVM. The SVM scores for these two models that failed are 4.79 and 5.60.

Table 10. Measured P_{st}^{LM} and SVM for Clear A-Type Lamps, LED Filament, Non-Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|----------------|------------------|-----------|---------------|-------|
| LNRD009 | A type, filament | No | 0.023 | 0.002 |
| TI-20101(DE14) | A type, filament | No | 0.015 | 0.003 |
| TI-20124(FR9) | A type, filament | No | 0.017 | 0.003 |
| TI-20124(FR14) | A type, filament | No | 0.015 | 0.003 |
| TI-20101(DE19) | A type, filament | No | 0.013 | 0.004 |
| TI-20124(FR20) | A type, filament | No | 0.017 | 0.004 |
| TI-20124(FR8) | A type, filament | No | 0.022 | 0.004 |
| TI-20101(DE2) | A type, filament | No | 0.015 | 0.004 |
| TI-20124(FR5) | A type, filament | No | 0.016 | 0.005 |
| TI-20101(DE3) | A type, filament | No | 0.013 | 0.006 |
| TI-20101(DE13) | A type, filament | No | 0.020 | 0.008 |
| TI-20101(DE17) | A type, filament | No | 0.014 | 0.012 |
| TI-20124(FR19) | A type, filament | No | 0.021 | 0.059 |
| LNLED170(a-k) | A type, filament | No | 0.928 | 0.152 |
| TI-20019(UK18) | A type, filament | No | 0.117 | 0.273 |
| LNLED169(a-k) | A type, filament | No | 1.020 | 4.790 |
| LNLED171(a-k) | A type, filament | No | 0.832 | 5.600 |

In Table 11, the coloured shading indicates 3 of the 8 dimmable lamps already meet the P_{st}^{LM} and SVM requirements in the draft regulation. If the level SVM were increased to 1.6, it would add 1 more model to the 3 that pass at the 0.4 level. There were four models that failed, one of which had a very high SVM score. The P_{st}^{LM} values were all well within the limits established by the regulation.

Table 11. Measured P_{st}^{LM} and SVM for Clear A-Type Lamps, LED Filament, Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|----------------|------------------|-----------|---------------|-------|
| 3272-1 | A type, filament | Yes | 0.014 | 0.016 |
| 3272-3 | A type, filament | Yes | 0.012 | 0.017 |
| LNRD002 | A type, filament | Yes | 0.069 | 0.119 |
| TI-20101(DE15) | A type, filament | Yes | 0.039 | 1.199 |
| LNRD042 | A type, filament | Yes | 0.088 | 1.677 |
| 3348-1 | A type, filament | Yes | 0.046 | 2.008 |
| TI-20124(FR10) | A type, filament | Yes | 0.053 | 2.430 |
| 3347-1 | A type, filament | Yes | 0.103 | 5.016 |

The figure below depicts all 25 models of A-type LED filament lamps on a graph of P_{st}^{LM} and SVM. Those dots which appear in the green region within the orange box are already compliant with the requirements in the draft regulation. The P_{st}^{LM} values were all within the limit set by the regulation ($P_{st}^{LM} \leq 1.0$). Note: not all models are visible in the graph, as the axes are zoomed in on the lower values for the lamps, in order to see where the models fall.

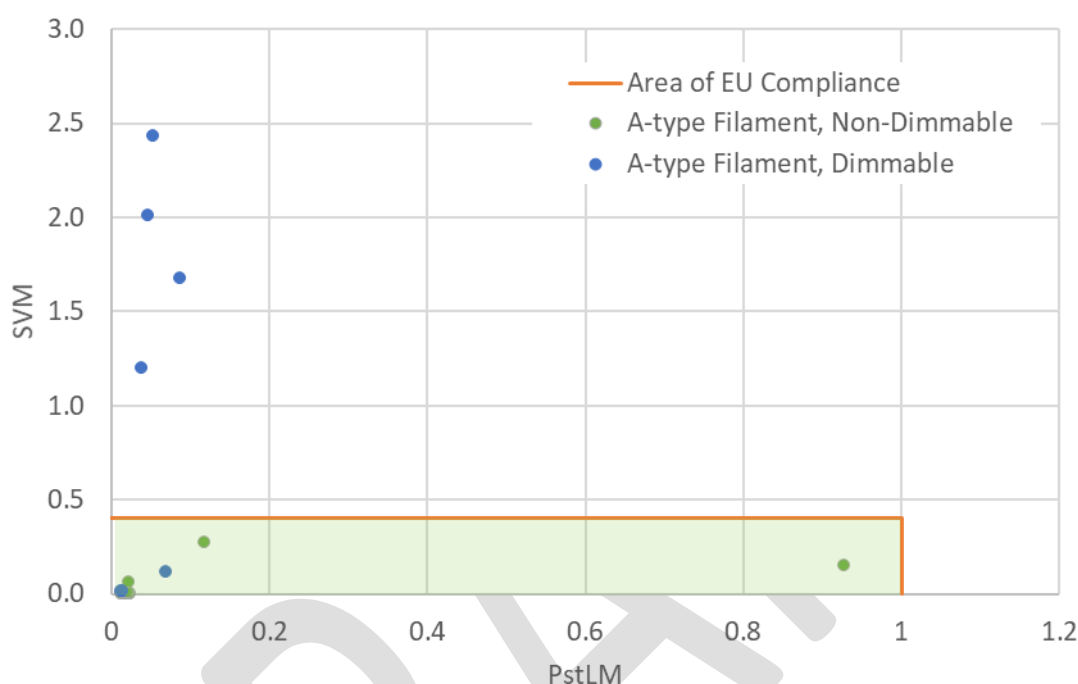


Figure 8. Plot of the A-type LED Filament Lamps depicting Measured P_{st}^{LM} and SVM

Table 12. Summary analysis for the A-type LED Filament retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 25 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 18 (72%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (4%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 6 (24%) |
| Total number of dimmable models in the sample | 8 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 3 (38%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (12%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 4 (50%) |

4.4 LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules

The G9 capsule LED lamps are designed as a direct (mains voltage) replacement for small G9 halogen lamps. Even though the form factor for this lamp is very small, we found both dimmable and non-dimmable G9 capsule LED lamps which are on the market today which already comply with the P_{st}^{LM} and SVM requirements. The two tables below present our findings for this study, where we tested 7 non-dimmable and 16 dimmable G9 capsule LED lamp models.

Table 13 shows that for the non-dimmable G9 capsules, 5 out of 7 models already meet the P_{st}^{LM} and SVM requirements in the draft regulation. If the level SVM were increased to 1.6, it would not enable any additional models to pass. There were two models that failed, one of which had a very high SVM score, greater than 4.5.

Table 13. Measured P_{st}^{LM} and SVM for G9 capsule LED lamps, non-dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|---------|-----------|---------------|-------|
| LNRD039 | Capsule | No | 0.000 | 0.000 |
| 3310-1 | Capsule | No | 0.011 | 0.003 |
| LNRD023 | Capsule | No | 0.026 | 0.006 |
| 3309-1 | Capsule | No | 0.004 | 0.006 |
| TP0006 | Capsule | No | 0.009 | 0.006 |
| LNRD021 | Capsule | No | 0.075 | 2.063 |
| LNRD022 | Capsule | No | 0.183 | 4.596 |

Table 14 depicts the 16 dimmable G9 capsules tested. Of these, two models meet the P_{st}^{LM} and SVM requirements in the draft regulation. If the limit on SVM were increased to 1.6, it would enable 1 more model to pass. There were 13 models that failed to meet the requirements, all of which failed on SVM.

Table 14. Measured P_{st}^{LM} and SVM for G9 capsule LED lamps, dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|---------|-----------|---------------|-------|
| 3343-1 | Capsule | Yes | 0.032 | 0.046 |
| 3343-3 | Capsule | Yes | 0.030 | 0.051 |
| TP0007 | Capsule | Yes | 0.048 | 0.890 |
| 3093-15 | Capsule | Yes | 0.071 | 1.788 |
| 3093-16 | Capsule | Yes | 0.055 | 1.985 |
| LNRD038 | Capsule | Yes | 0.130 | 1.998 |
| 3344-1 | Capsule | Yes | 0.048 | 2.267 |
| TP0008 | Capsule | Yes | 0.088 | 2.366 |
| TP0009 | Capsule | Yes | 0.101 | 3.420 |
| TP0011 | Capsule | Yes | 0.166 | 3.835 |
| TP0010 | Capsule | Yes | 0.106 | 3.971 |
| LNRD041 | Capsule | Yes | 0.181 | 4.063 |
| LNRD034 | Capsule | Yes | 0.189 | 4.698 |
| 3342-1 | Capsule | Yes | 0.096 | 4.787 |
| LNRD033 | Capsule | Yes | 0.200 | 5.073 |
| LNRD040 | Capsule | Yes | 0.183 | 5.175 |

Of the 23 LED G9 capsules tested, 7 were found to already comply with the P_{st}^{LM} and SVM requirements in the draft regulation. Of these, 5 models were non-dimmable and

2 models were dimmable. This seems to indicate that it is harder to make a dimmable G9 capsule which meets the requirements, but it is certainly possible. The P_{st}^{LM} values were all within the limit set by the regulation ($P_{st}^{LM} \leq 1.0$).

Figure 9 depicts these 23 models on a graph of P_{st}^{LM} and SVM. Those dots which appear in the green region within the orange box are already compliant with the requirements in the draft regulation. Note: not all models are visible in the graph, as the axes are zoomed in on the lower values for the lamps, in order to see where the models fall.

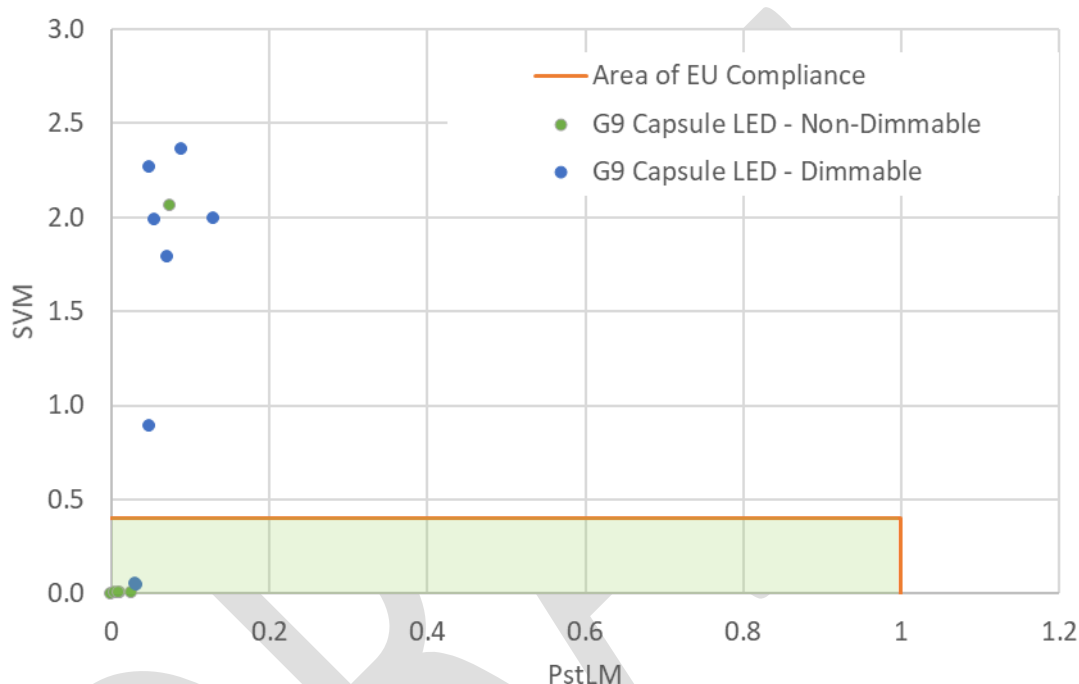


Figure 9. Plot of the G9 capsule LED lamps depicting measured P_{st}^{LM} and SVM

Table 15. Summary analysis for the G9 capsule LED retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 23 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 7 (30%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (4%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 15 (65%) |
| Total number of dimmable models in the sample | 16 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 2 (12%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (6%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 13 (81%) |

4.5 LEDlinear R7s – LED replacement lamp for linear R7s halogen lamps

The R7s retrofit lamps are designed to replace the R7s (double-ended) halogen lamps used in luminaires such as wall-washers, torchieres and security lighting. These lamps operate on mains voltage and have a very restricted form factor. For this study, we purchased and tested five models of R7s lamp, including one non-dimmable and four dimmable lamps. The table below lists the lamps that were tested for this study.

The non-dimmable model passed with very low values for both P_{st}^{LM} and SVM. For the dimmable models, one model passed the draft regulation requirements of both P_{st}^{LM} and SVM. Two additional models would pass if the SVM limit were raised to 1.6. One model would not pass a higher SVM limit of 1.6 because the measured SVM was 1.966.

Table 16. Measured P_{st}^{LM} and SVM for R7s LED Lamps, Non-Dimmable and Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|------|-----------|---------------|-------|
| TP0017 | R7s | No | 0.021 | 0.003 |
| 3345-1 | R7s | Yes | 0.024 | 0.007 |
| TP0018 | R7s | Yes | 0.060 | 0.879 |
| TP0015 | R7s | Yes | 0.136 | 0.931 |
| TP0016 | R7s | Yes | 0.061 | 1.966 |

Figure 10 depicts these 5 models on a graph of P_{st}^{LM} and SVM. Dots appearing in the green region within the orange box are compliant with the requirements in the draft regulation. Those above the box and to the right of it do not comply. The P_{st}^{LM} values were all within the limit set by the regulation ($P_{st}^{LM} \leq 1.0$). Note: not all models are visible in the graph, as the axes are zoomed in on the lower values for the lamps, in order to see where the models fall.

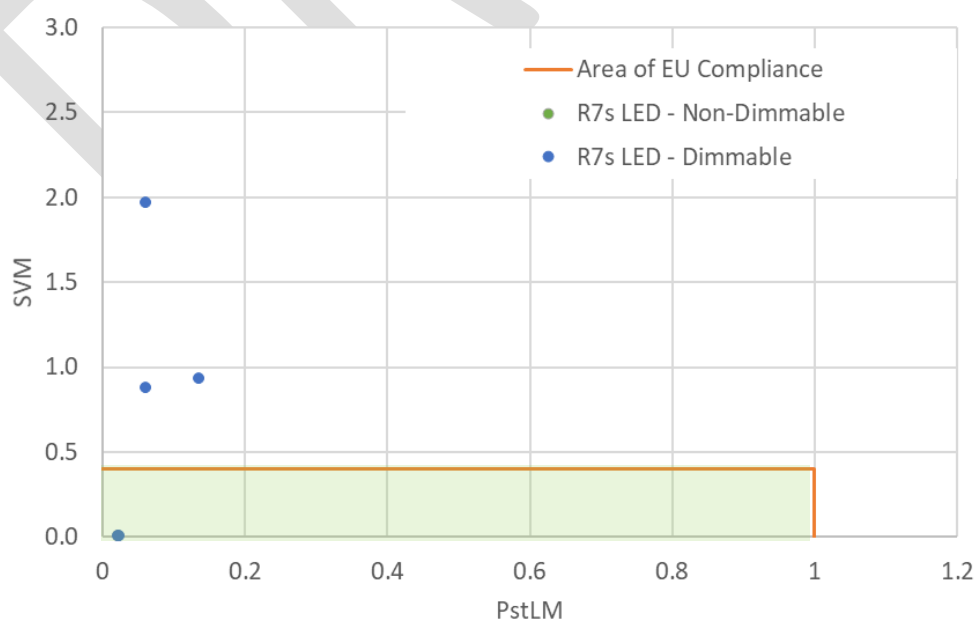


Figure 10. Plot of the R7s LED retrofit lamps depicting measured P_{st}^{LM} and SVM

Table 17. Summary analysis for the R7s LED retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 5 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 2 (40%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 2 (40%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 1 (20%) |
| Total number of dimmable models in the sample | 4 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 1 (25%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 2 (50%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 1 (25%) |

4.6 LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp

The PAR38 LED lamps are designed to replace incandescent and halogen flood lamps, whereby the lamp operates on mains voltage and the driver is contained within the lamp. We purchased and tested samples of seven different models of PAR38 lamp, four non-dimmable and three dimmable. As shown in Table 18, we found two of non-dimmable lamps and two of the dimmable already complied with the P_{st}^{LM} and SVM requirements in the draft regulation. If the SVM limit were raised to 1.6, then all seven models tested in our sample would pass.

Table 18. Measured P_{st}^{LM} and SVM for PAR38 LED Lamps, Non-Dimmable and Dimmable

| Lab ID Code | Type | Dimmable? | P_{st}^{LM} | SVM |
|-------------|-------|-----------|---------------|-------|
| 3282-1 | PAR38 | No | 0.000 | 0.000 |
| TP0012 | PAR38 | No | 0.527 | 0.024 |
| TP0014 | PAR38 | No | 0.081 | 0.833 |
| LNLED187b | PAR38 | No | 0.050 | 1.322 |
| LNLED188b | PAR38 | Yes | 0.114 | 0.000 |
| TP0019 | PAR38 | Yes | 0.029 | 0.017 |
| TP0013 | PAR38 | Yes | 0.105 | 0.572 |

Figure 11 shows a scatter plot of the seven PAR38 LED lamps tested, four of which already meet the P_{st}^{LM} and SVM requirements, two dimmable and two non-dimmable models. The P_{st}^{LM} values were all within the limit set by the regulation ($P_{st}^{LM} \leq 1.0$).

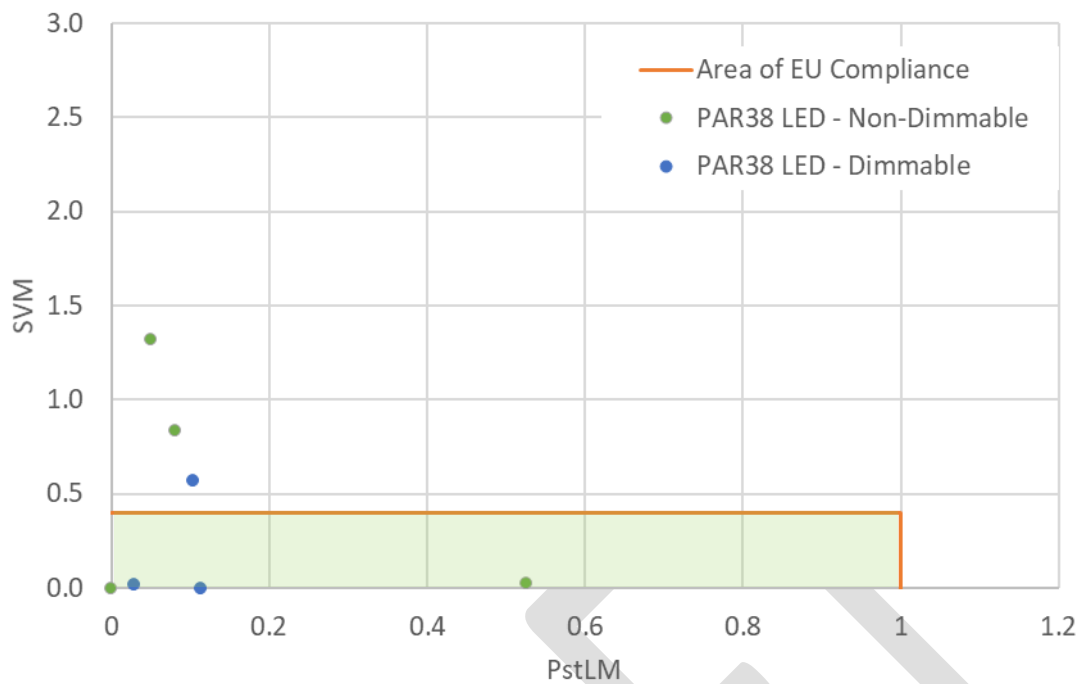


Figure 11. Plot of the PAR38 LED Lamps depicting measured P_{st}^{LM} and SVM





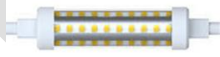

Table 19. Summary analysis for the PAR38 LED retrofit lamps

| Factor and Requirement | Passing and Percentage |
|---|------------------------|
| Total number of models in the sample | 7 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 4 (57%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 3 (43%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 0 (0%) |
| Total number of dimmable models in the sample | 3 models |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 2 (66%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and limit on $SVM \leq 1.6$ | 1 (33%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 0 (25%) |

4.7 The Black Swans – Summary of Lamps that Already Meet the Requirements

This section brings together all the lamps that were found in this set of LED lamp product testing presented in the subsections above.

Table 20. List of LED retrofit lamp types that LightingEurope considers to be at risk

| Lamp Type Considered | Picture |
|--|---|
| LEDtube – retrofit LED lamp for a linear fluorescent tube |  |
| LEDspot MV – mains voltage (GU10) spot lamp (PAR16) |  |
| Classic filament LED lamps – mains voltage A-type LED filament lamps |  |
| LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules |  |
| LEDlinear R7s – LED replacement lamp for linear R7s halogen lamps |  |
| LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp |  |

Additional information on the performance of these lamps that already meet the ecodesign requirements for PstLM and SVM is given in the table below. This performance information includes Power Factor (PF), Displacement Factor (DF) and electromagnetic compatibility (EMC), where available. Additional work is being done at this time to further complete more of the fields in this table.

Table 21. The “Black Swans” – Lamps that Already Meet the Ecodesign Requirements

| Lab ID Code | Type | Dimmable ? | P _{st} ^{LM} | SVM | PF | DF | EMC |
|-------------|------|--------------|-------------------------------|-------|----|----|-----|
| TP0029 | T8 | No | 0.007 | 0.030 | | -- | -- |
| TP0022 | T8 | Not declared | 0.022 | 0.053 | | -- | -- |
| TP0023 | T8 | Not declared | 0.042 | 0.055 | | -- | -- |

| Lab ID Code | Type | Dimmable ? | P _{st} ^{LM} | SVM | PF | DF | EMC |
|----------------|------------------|------------|-------------------------------|-------|-------|--------|------|
| TP0024 | T5 | No | 0.034 | 0.127 | | -- | -- |
| LNLED180 | T8 | No | 0.686 | 0.160 | 0.92 | 0.93 | -- |
| LNLED184 | T8 | No | 0.861 | 0.172 | 0.863 | 0.99 | -- |
| LNLED179 | PAR16 | No | 0.921 | 0.000 | 0.480 | 0.90-- | -- |
| 3286-1 | PAR16 | No | 0.017 | 0.002 | 0.598 | 0.893 | -- |
| LNLED003 | PAR16 | No | 0.053 | 0.002 | -- | -- | -- |
| 3307-1 | PAR16 | No | 0.011 | 0.003 | 0.511 | 0.968 | -- |
| LNLED129 | PAR16 | No | 0.015 | 0.006 | -- | -- | -- |
| LNLED153 | PAR16 | No | 0.037 | 0.006 | 0.448 | 0.84 | -- |
| TP0004 | PAR16 | No | 0.009 | 0.006 | | -- | -- |
| SB5 | PAR16 | No | 0.025 | 0.008 | | -- | -- |
| LNLED099 | PAR16 | No | 0.033 | 0.008 | -- | -- | -- |
| LNLED128 | PAR16 | No | 0.024 | 0.011 | -- | -- | -- |
| 3279-1 | PAR16 | No | 0.019 | 0.013 | 0.505 | 0.889 | -- |
| LNLED097 | PAR16 | No | 0.079 | 0.013 | -- | -- | -- |
| LNRD036 | PAR16 | Yes | 0.017 | 0.002 | -- | -- | -- |
| 3275-1 | PAR16 | Yes | 0.024 | 0.002 | 0.822 | 0.863 | -- |
| 3275-3 | PAR16 | Yes | 0.025 | 0.003 | 0.814 | 0.858 | Pass |
| TP0005 | PAR16 | Yes | 0.037 | 0.004 | | -- | -- |
| TP0001 | PAR16 | Yes | 0.033 | 0.004 | | -- | -- |
| TP0002 | PAR16 | Yes | 0.029 | 0.004 | | -- | -- |
| 3350-1 | PAR16 | Yes | 0.009 | 0.011 | 0.522 | 0.964 | -- |
| 3349-3 | PAR16 | Yes | 0.015 | 0.026 | 0.842 | 0.927 | Pass |
| LNLED189 | PAR16 | Yes | 0.189 | 0.055 | | 0.79 | -- |
| LNRD018 | PAR16 | Yes | 0.034 | 0.335 | | -- | -- |
| LNRD009 | A type, filament | No | 0.023 | 0.002 | | -- | -- |
| TI-20101(DE14) | A type, filament | No | 0.015 | 0.003 | 0.54 | -- | -- |
| TI-20124(FR9) | A type, filament | No | 0.017 | 0.003 | 0.485 | -- | -- |
| TI-20124(FR14) | A type, filament | No | 0.015 | 0.003 | 0.529 | -- | -- |
| TI-20101(DE19) | A type, filament | No | 0.013 | 0.004 | 0.53 | -- | -- |
| TI-20124(FR20) | A type, filament | No | 0.017 | 0.004 | 0.54 | -- | -- |
| TI-20124(FR8) | A type, filament | No | 0.022 | 0.004 | 0.59 | -- | -- |

| Lab ID Code | Type | Dimmable ? | P _{st} ^{LM} | SVM | PF | DF | EMC |
|----------------|----------------------|------------|-------------------------------|-------|-------|-------|------|
| TI-20101(DE2) | A type, filament | No | 0.015 | 0.004 | 0.525 | -- | -- |
| TI-20124(FR5) | 0.52A type, filament | No | 0.016 | 0.005 | 0.53 | -- | -- |
| TI-20101(DE3) | A type, filament | No | 0.013 | 0.006 | 0.52 | -- | -- |
| TI-20101(DE13) | A type, filament | No | 0.020 | 0.008 | 0.53 | -- | -- |
| TI-20101(DE17) | A type, filament | No | 0.014 | 0.012 | 0.51 | -- | -- |
| TI-20124(FR19) | A type, filament | No | 0.021 | 0.059 | 0.52 | -- | -- |
| LNLED170(a-k) | A type, filament | No | 0.928 | 0.152 | | 0.93 | -- |
| TI-20019(UK18) | A type, filament | No | 0.117 | 0.273 | 0.87 | -- | -- |
| 3272-1 | A type, filament | Yes | 0.014 | 0.016 | 0.829 | 0.929 | -- |
| 3272-3 | A type, filament | Yes | 0.012 | 0.017 | 0.824 | 0.927 | Pass |
| LNRD002 | A type, filament | Yes | 0.069 | 0.119 | | -- | -- |
| LNRD039 | Capsule | No | 0.000 | 0.000 | | -- | -- |
| 3310-1 | Capsule | No | 0.011 | 0.003 | 0.500 | 0.964 | -- |
| LNRD023 | Capsule | No | 0.026 | 0.006 | | -- | -- |
| 3309-1 | Capsule | No | 0.004 | 0.006 | 0.519 | 0.950 | -- |
| TP0006 | Capsule | No | 0.009 | 0.006 | | -- | -- |
| 3343-1 | Capsule | Yes | 0.032 | 0.046 | 0.669 | 0.999 | -- |
| 3343-3 | Capsule | Yes | 0.030 | 0.051 | 0.721 | 0.999 | Fail |
| TP0017 | R7s | No | 0.021 | 0.003 | | -- | -- |
| 3345-1 | R7s | Yes | 0.024 | 0.007 | 0.940 | 0.968 | -- |
| 3282-1 | PAR38 | No | 0.000 | 0.000 | 0.471 | 0.971 | -- |
| TP0012 | PAR38 | No | 0.527 | 0.024 | | -- | -- |
| LNLED188b | PAR38 | Yes | 0.114 | 0.000 | -- | 0.90 | -- |
| TP0019 | PAR38 | Yes | 0.029 | 0.017 | | -- | -- |

5 Additional Analysis and Research

This section of the report provides discussion on some additional analysis and research that was performed on some of the lamps presented in Chapter 4. This additional work included a review of the relationship between price and SVM, a study of circuit designs to better understand design techniques that should allow for low SVM, and EMC testing to verify that some of the lamps met the EMC requirements.

5.1 Assessment of Price and SVM performance

In a letter to the Member State Regulatory Committee Members dated 12 December 2018, Sweden prepared an estimate of the cost of a low SVM driver, providing the following methodologies for estimating the cost:

[H]ow much more does a low SVM driver cost compared to one with high SVM values? In general, the feedback we received was that there is no retail price difference between those with high and low SVM values – there are lamps and luminaires in the market which have high and low SVM values – and they compete with each other on price. The following three examples support this feedback and summarise our main findings on this question of the cost difference for low SVM drivers:

Example 1) One of the SSL Annex Experts consulted an OSRAM expert in February 2017 and was told that the incremental manufacturing cost increase for a low SVM driver was approximately ten Euro cents (€0.10).

Example 2) Peter Erwin, a German researcher and expert on flicker who has purchased and [tested >1000 LED lamps](#) over the years commented that the retail price paid in shops and on-line is the same or even in some cases less for SVM=0 and SVM>4.0. Thus, the small incremental manufacturing cost of the improved driver design to achieve an SVM=0 is simply being adsorbed by those suppliers through slightly less profit.

Example 3) One of the SSL Annex Management Committee members contacted an industry source in November 2018 who estimated that the driver cost was "an extra 30%". To try and compare that estimate to the number quoted from OSRAM, we referenced the US Department of Energy's Solid State Lighting Multi-year Program Plan from 2016 which says that for a typical A-type lamp, the driver is 15-20% of the manufacturing cost, so assuming a 100% retail price mark-up on cost, we can roughly estimate the incremental cost of the SVM=0 driver:

- a) Retail price of LED Lamp: €4.00*
- b) Manufacturing cost of that LED lamp: €2.00 (assumes 100% retail mark-up)*
- c) Manufacturing cost of SVM >4.0 driver (15-20%): €0.30 – 0.40*
- d) Incremental cost of SVM=0 driver (+30% of (c)): €0.09 – 0.12*

e) This estimate of €0.09 – 0.12 is right in line with Osram's estimate of €0.10

Thus, this 2018 industry estimate corroborates the 2017 estimate from Osram and is consistent with Peter Erwin's observation of lamps he's purchased and tested in his lab in Germany.

Overall, the evidence shows that from a manufacturing cost point of view, having stringent SVM levels would have a negligible impact on retail prices and yet they would protect the public health and well-being, delivering more human centric lighting to Europe.

To explore this further, we prepared a scatter plot of the A-type lamps tested and presented in this study, and we have found that there is no detectable correlation between price and SVM. The figure below presents this scatter plot for the A-type lamps presented in this report. The least expensive A-type lamp purchased has an SVM which is close to zero (and well below the maximum <0.4 limit set by the Ecodesign regulation).

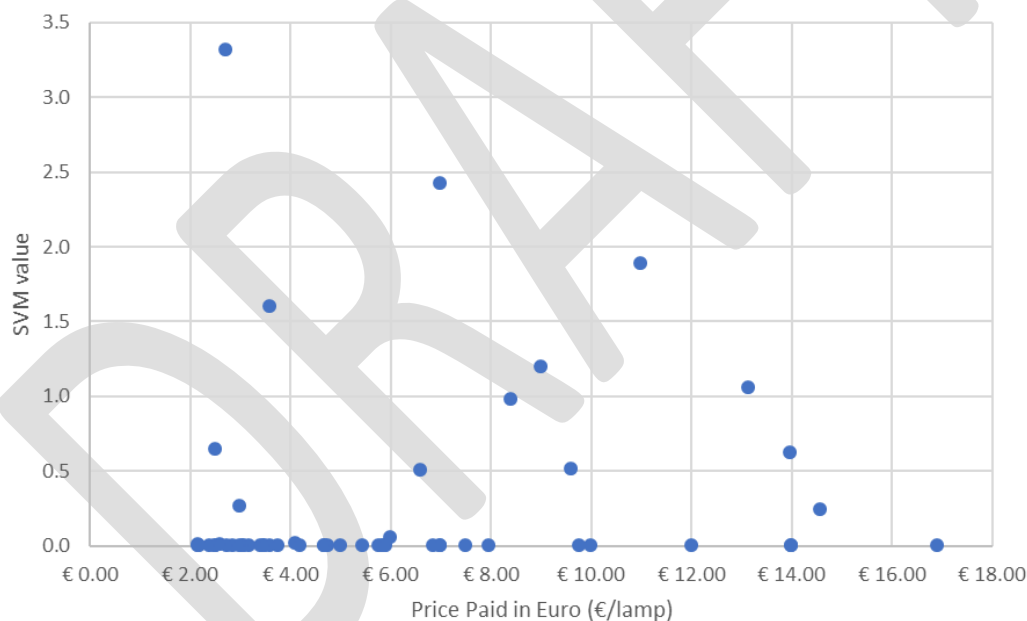


Figure 12. Scatter Plot of A-type Lamp Purchase Price and SVM

5.2 Teardown and Design Analysis of LED Drivers

In order to better understand some of the driver designs which had very good performance in terms of SVM (i.e., low values), we conducted a tear-down analysis and circuit analysis to try and identify those common designs and components. As demonstrated by the test data presented in Chapter 4 of this report, there are LED lamps that already exist on the market today which meet the P_{st}^{LM} and SVM

requirements and we want to understand those design features to highlight these successful designs.

Ten lamps were studied in total, five which had low (i.e., compliant) SVM values and five which had high values (i.e., non-compliant). For this report, manufacturer names have been obscured to protect their identity, although all models studied were commercially available products purchased in Europe.

5.2.1 Example #1 of Lamp Design Studied

The following presents one of the ten lamps which was studied, which was a 7.8 Watt lightweight lamp made of glass and containing four long LED filaments as shown in the picture below. This lamp has a P_{ST}^{LM} of 0.0153 and an SVM of 0.003 – both values far below the required levels. The LED driver is very compact, and was contained within the socket.

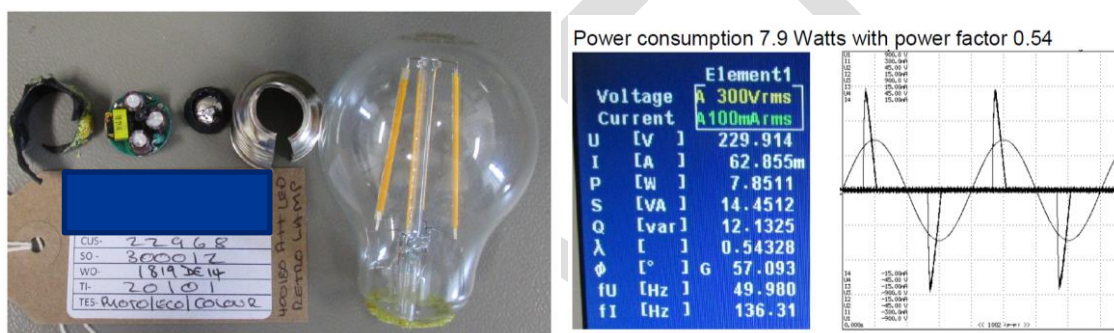


Figure 13. Tear-down Lamp #1: LED Filament Lamp

This product shows that it is possible to do low flicker lighting with a very small and low cost power supply placed inside the lamp socket. The capacitors are of 105°C type and not exposed to heat, so they will not fail. The double filtering using a coil and a 2nd capacitor leads to very stable supply voltage of the driver IC and improves power factor slightly. 100Hz hum is filtered sufficiently to avoid SVM flicker. The buck converter IC is used as a constant current driver. Finally, the last electrolytic capacitor removes remaining high frequency current ripple.

5.2.2 Example #2 of Lamp Design Studied

The following presents a second lamps which was studied, which was a 9.5 Watt lightweight A-60 style frosted retrofit LED lamp with an E27 screw base socket. This lamp has a P_{ST}^{LM} of 0.0209 and an SVM of 0.003 – both values far below the required levels. The LED driver is very compact, and was contained within the opaque plastic housing at the base of the lamp (connected to the screw base).



Figure 14. Tear-down Lamp #2: LED Frosted Retrofit Lamp

This electronic driver design in this lamp is considered to be very good. The compact LED driver circuit is plugged into the LED board (10 triple LED on an aluminium heat sink). There is poor thermal connection to the heat sink made of aluminium sheet inside the opaque plastic housing at the base of the lamp. There is a fusible resistor of 20 Ohms between the mains and the circuit. This resistor may limit the inrush current sufficiently to ensure good switching cycle performance. The double filtering using a coil and a 2nd capacitor leads to very stable supply voltage of the driver IC and improves power factor slightly. 100Hz hum is filtered sufficiently to avoid SVM flicker. The circuit uses a buck converter IC as a constant current driver. Finally, the last electrolytic capacitor removes remaining high frequency current ripple.

5.2.3 Set of Lamps Tested

The table below presents an anonymised list of products that we have tested (some in-house TLA measurements) which pass the new regulatory requirements on SVM, displacement factor (DF) and harmonics. These products listed in the table include A-shape, PAR16, candle and capsule lamps. So it appears that compact driver designs have been developed in all of these lamp types which meet the regulatory requirements.

Table 22. Summary of P_{ST}^{LM} and SVM Testing of 17 LED Lamps with Displacement Factor

| Lamp Category | Lamp shape | Cap type | Filament (Y/N) | Dimmable | Tested Power (W) | Tested True PF | Tested THD | Calculated Disp PF | P_{ST} | SVM |
|--------------------------|------------|----------|----------------|----------|------------------|----------------|------------|--------------------|----------|-------|
| Non-Directional | Candle | B22 | N | N | 5.4 | 0.567 | 72% | 0.70 | 0.786 | 0.000 |
| Non-Directional | A60 | E27 | N | N | 8.2 | 0.415 | 150% | 0.75 | 0.455 | 0.000 |
| Directional - GU10 | PAR16 | GU10 | N | Y | 4.5 | 0.89 | 30% | 0.93 | 0.017 | 0.002 |
| Directional - GU10 | PAR16 | GU10 | N | N | 8.0 | 0.54 | 114% | 0.82 | 0.053 | 0.002 |
| Non-Directional | A60 | E27 | N | N | 9.3 | 0.416 | 120% | 0.65 | 0.363 | 0.002 |
| Non-Directional | A50 | E27 | N | ? | 3.1 | 0.451 | 187% | 0.96 | 0.104 | 0.004 |
| Non-Directional | Capsule | G9 | N | N | 2.7 | 0.34 | 152% | 0.62 | 0.026 | 0.006 |
| Directional - GU10 | PAR16 | GU10 | N | N | 4.6 | 0.52 | 149% | 0.93 | 0.015 | 0.006 |
| Non-Directional | A60 | B22 | N | N | 7.6 | 0.532 | 157% | 0.99 | 0.033 | 0.007 |
| Directional - GU10 | PAR16 | GU10 | N | N | 6.2 | 0.54 | 117% | 0.83 | 0.033 | 0.008 |
| Directional - GU10 | PAR16 | GU10 | N | N | 6.1 | 0.48 | 146% | 0.85 | 0.024 | 0.011 |
| Directional - GU10 | PAR16 | GU10 | N | N | 3.0 | 0.51 | 143% | 0.89 | 0.079 | 0.013 |
| Non-Directional | A60 | E27 | N | N | 7.8 | 0.564 | 138% | 0.96 | 0.598 | 0.051 |
| Non-Directional | A60 | E27 | N | N | 6.2 | 0.554 | 79% | 0.71 | 0.701 | 0.133 |
| Non-Directional | A45 | E27 | N | | 4.1 | 0.529 | 70% | 0.65 | 1.035 | 0.147 |
| Non-Directional Filament | A60 | E27 | Y | N | 4.3 | 0.542 | 140% | 0.93 | 0.928 | 0.152 |
| Directional - GU10 | PAR16 | GU10 | N | Y | 5.0 | 0.64 | 55% | 0.73 | 0.034 | 0.335 |

5.3 EMC Testing of LED Lamps

In order to check whether the SVM requirement resulted in a problem on electromagnetic compatibility (EMC), we selected and shipped twenty different lamps to Korea to be tested at the Korea Institute of Lighting and ICT (KILT). They conducted three tests on each of the products:

- Main Terminal Disturbance Voltage Measurement
- Radiated disturbance (9 kHz to 30 MHz) Measurement
- Radiated disturbance (30 MHz to 300 MHz) Measurement

The lamps shipped to KILT were of a variety of different manufacturers, base-types, wattages, and SVM measurements – six models that had failed the SVM requirement and fourteen models that passed. The intention here was to check and determine whether passing or failing the SVM requirements may result in an unintentional impact on the EMC disturbance. All lamps tested had P_{ST}^{LM} values that met the requirements.

The results of this testing are presented in the following table. For 19 out of 20 lamps tested, there were no problems with any of the three EMC tests – irrespective of SVM value.

Table 23. Summary of EMC Testing of 20 LED Lamps with Various SVM Values

| Lab ID Code | Type | Base | Watts | Dimmable? | PstLM | SVM | Flicker Frequency | Disturbance Voltage | Radiated disturbance (9 kHz to 30 MHz) | Radiated disturbance (30 MHz to 300 MHz) |
|----------------|------------|------|-------|-----------|-------|-------|-------------------|---------------------|--|--|
| 3302-3 | A-type | E14 | 5.5 | 3-way | 0.007 | 0.002 | 17.829 | Pass | Pass | Pass |
| TI-20101(DE16) | A-type | E27 | 9.0 | Yes | 0.013 | 0.007 | 100 | Pass | Pass | Pass |
| 3272-3 | A-type | E27 | 7.0 | Yes | 0.012 | 0.017 | 100 | Pass | Pass | Pass |
| L31690 | A-type | E27 | 14.5 | Yes | 0.030 | 0.020 | 100 | Pass | Pass | Pass |
| 3290-3 | A-type | E27 | 4.0 | Yes | | 0.120 | | Pass | Pass | Pass |
| TI-20019(UK15) | A-type | E27 | 8.5 | Yes | 0.021 | 0.517 | 100 | Pass | Pass | Pass |
| 3297-3 | A-type | E27 | 7.5 | Yes | 0.063 | 2.943 | 99.999 | Pass | Pass | Pass |
| L31642 | Capsule | G9 | 2.6 | No | 0.009 | 0.010 | 100.1 | Pass | Pass | Pass |
| 3343-3 | Capsule | G9 | 3.6 | Yes | 0.030 | 0.051 | 200 | Fail | Pass | Fail |
| L31654 | Capsule | G9 | 4.0 | Yes | 0.106 | 3.970 | 100 | Pass | Pass | Pass |
| L31684 | LED linear | R7s | 7.5 | No | 0.022 | 0.000 | 100.1 | Pass | Pass | Pass |
| L31681 | LED linear | R7s | 11.5 | Yes | 0.063 | 2.030 | 100 | Pass | Pass | Pass |
| L31627 | PAR16 | GU10 | 5.0 | Yes | 0.033 | 0.000 | 98.3 | Pass | Pass | Pass |
| L31639 | PAR16 | GU10 | 4.5 | Yes | 0.035 | 0.000 | 103.8 | Pass | Pass | Pass |
| L31630 | PAR16 | GU10 | 5.0 | Yes | 0.029 | 0.000 | 99.3 | Pass | Pass | Pass |
| 3275-3 | PAR16 | GU10 | 6.5 | Yes | 0.025 | 0.003 | 100 | Pass | Pass | Pass |
| 3277-3 | PAR16 | GU10 | 4.1 | Yes | | 0.020 | | Pass | Pass | Pass |
| 3349-3 | PAR16 | GU10 | 5.0 | Yes | 0.015 | 0.026 | 100 | Pass | Pass | Pass |
| 3306-3 | PAR16 | GU10 | 7.0 | Yes | 0.023 | 0.712 | 100 | Pass | Pass | Pass |
| L31624 | PAR16 | GU10 | 6.0 | Yes | 0.138 | 1.820 | 100 | Pass | Pass | Pass |

Of all of the different makes and models, there was just one model which failed. This lamp was a small, 3.6W G9 lamp rated for 360 lumens, and it failed the main terminal disturbance voltage test and the radiated disturbance (30 MHz to 300 MHz) test. To illustrate the failure, two sets of graphs are included below which depict the test results for two G9 lamps in this sample – one which passed and one which failed.

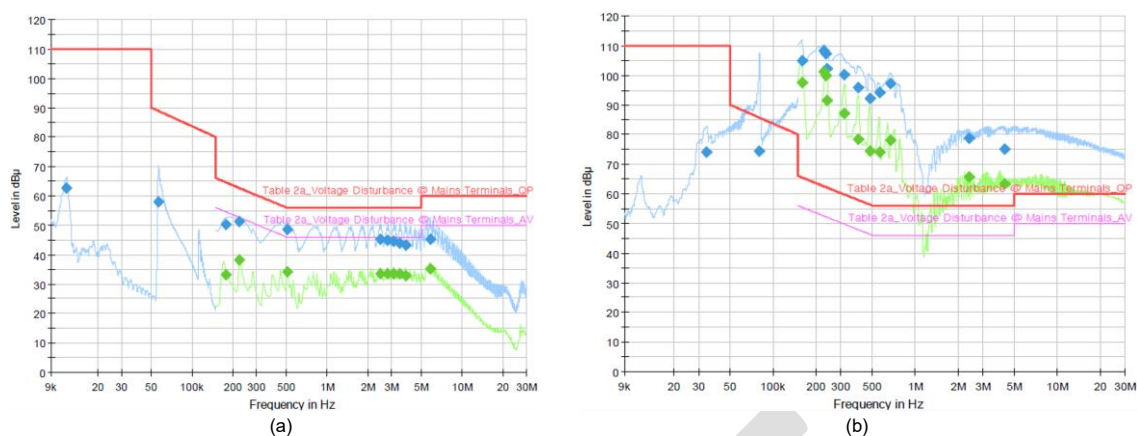


Figure 15. Disturbance Voltage Test for Passing G9 (a) and Failing G9 (b) LED lamps

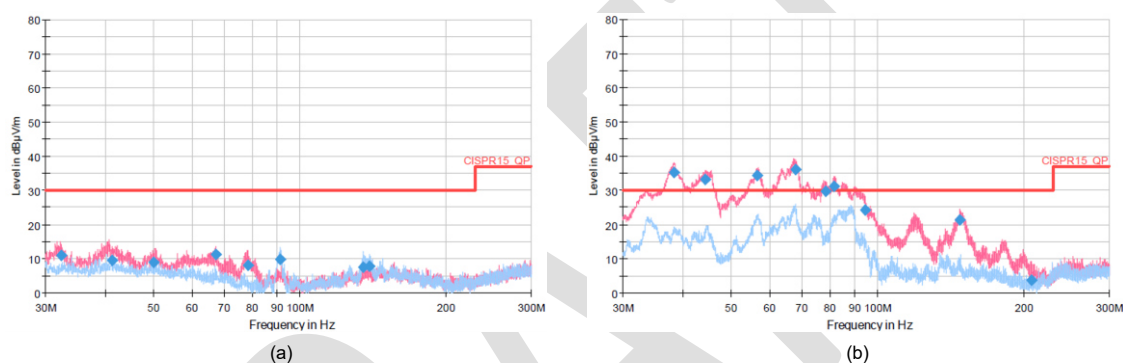


Figure 16. Radiated Disturbance (30 MHz to 300 MHz) Test for Passing G9 (a) and Failing G9 (b) LED lamps

Overall, our conclusion from this check on EMC testing is that there does not seem to be any impact on the EMC compliance associated with lamp size / formfactor and either P_{st}^{LM} or SVM values of the lamp. Products were found at the smallest size which were compliant with the P_{st}^{LM} and SVM requirements in the new lighting regulation, and which also met the EMC requirements.

6 Conclusions and Discussion

In this section of the report, we present our test findings which demonstrate that for all six of the lamp types that LightingEurope identified as being “unintentionally phased out”, there are products from different manufacturers on the market today which are already compliant. The table below summarises the findings, providing total number of models tested, the number found to be compliant (both dimmable and non-dimmable models) and the number of compliant dimmable models only.

Table 24. Summary of measured test results – lamps meeting the P_{st}^{LM} and SVM requirements





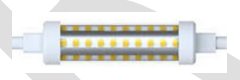

| Lamp Type | Picture | Models Tested (Total) | Compliant Models (Total) | Compliant Models (Dimmable) |
|--|---|-----------------------|--------------------------|-----------------------------|
| LEDtube – retrofit LED lamp for a linear fluorescent tube |  | 11 | 6 | -- |
| LEDspot MV – mains voltage (GU10) spot lamp (PAR16) |  | 36 | 22 | 10 |
| Classic filament LED lamps – mains voltage A-type LED filament lamps |  | 25 | 18 | 3 |
| LEDcapsule G9 – mains voltage LED retrofit for G9 halogen capsules |  | 23 | 7 | 2 |
| LEDspot R7s – LED replacement lamp for linear R7s halogen lamps |  | 5 | 2 | 1 |
| LEDspot PAR – LED retrofit lamp for PAR38 reflector lamp |  | 7 | 4 | 2 |
| Total | | 107 | 59 | 18 |

Table 25 provides more detail on the models that passed, bringing together the ‘summary analysis’ tables from each of the six lamp types reported in Chapter 4. In this table it can be seen on both a model and percentage basis that there are models available on the market in Europe today in all six categories that meet the new P_{st}^{LM} and SVM requirements. However, the percentages shown in Table 25 should be interpreted with caution because the number of different models randomly sampled for this study were limited and should not be interpreted exhaustive sampling of EU market.

Table 25. Summary of tested models passing the requirements for the six lamp types

| Factor and Requirement | LED T8 Tubes | GU10 PAR16 | A-Type Filament | G9 Capsule | R7s Retrofit | PAR38 LED | Total |
|--|--------------|------------|-----------------|------------|--------------|-----------|------------|
| Total number of models in the sample | 11 | 36 | 25 | 23 | 5 | 7 | 107 |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | 6 (54%) | 22 (62%) | 18 (72%) | 7 (30%) | 2 (40%) | 4 (57%) | 59 (55%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and $SVM \leq 1.6$ | 1 (9%) | 11 (30%) | 1 (4%) | 1 (4%) | 2 (40%) | 3 (43%) | 19 (18%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | 4 (36%) | 3 (8%) | 6 (24%) | 15 (65%) | 1 (20%) | 0 (0%) | 29 (27%) |
| Total number of dimmable models in the sample | 0 | 20 | 8 | 16 | 4 | 3 | 51 |
| Models with $P_{st}^{LM} \leq 1.0$ and $SVM \leq 0.4$ | n/a | 10 (50%) | 3 (38%) | 2 (12%) | 1 (25%) | 2 (66%) | 18 (35%) |
| Additional models if $P_{st}^{LM} \leq 1.0$ and $SVM \leq 1.6$ | n/a | 9 (45%) | 1 (12%) | 1 (6%) | 2 (50%) | 1 (33%) | 14 (27%) |
| Models with $P_{st}^{LM} > 1.0$ or $SVM > 1.6$ | n/a | 1 (5%) | 4 (50%) | 13 (81%) | 1 (25%) | 0 (25%) | 19 (37%) |

Figure 17 presents the frequency distribution of the P_{st}^{LM} values measured for the lamp models tested in this study. Out of the 107 models, 97% of the models have a P_{st}^{LM} less than or equal to 1.0 and only 3% have a P_{st}^{LM} greater than 1.0.

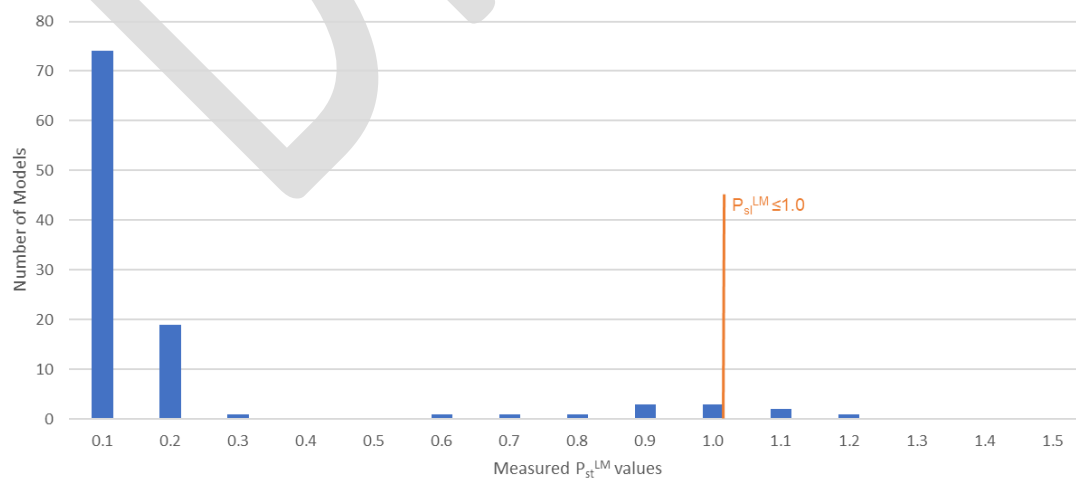

Figure 17. Count of the models by P_{st}^{LM} for all the lamps tested in this study (n=107)

Figure 18 presents the frequency distribution of the SVM values measured for the lamp models tested in this study. Out of the 107 models, 54% of the models have an SVM ≤ 0.4 , 18% have an SVM between 0.4 and 1.6 and 28% of the models are greater than 1.6.

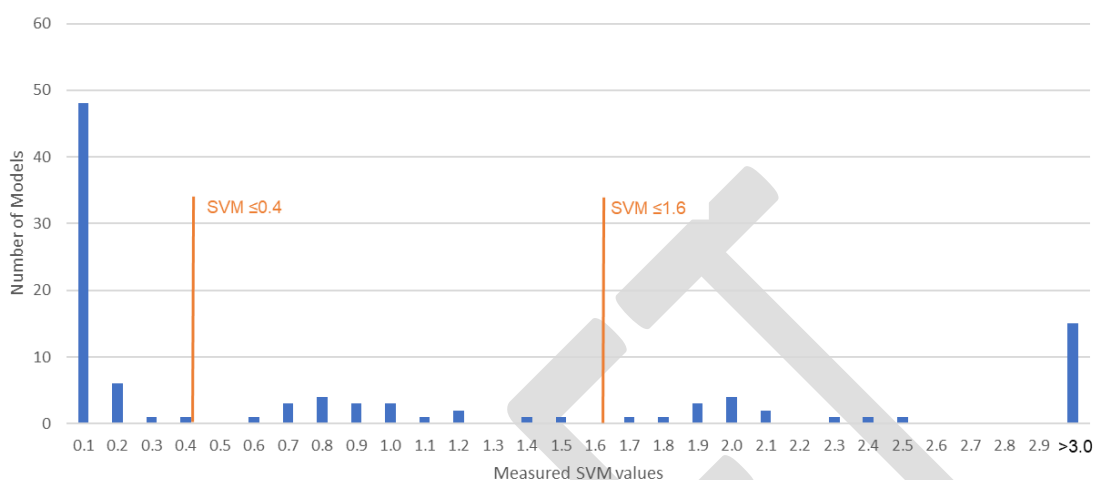


Figure 18. Count of the models by SVM for all the lamps tested in this study (n=107)

Our conclusion thus far is that there are already a significant number of LED replacement lamps on the market that are compliant with the P_{st}^{LM} and SVM requirements. We have found lamps – both dimmable and non-dimmable versions – in five of the six form factors that already meet the new P_{st}^{LM} and SVM requirements and in total 54% of the tested lamps already pass the EU regulation. Linear LED tubes – the category where we only had non-dimmable versions of the lamp – should be the easiest form factor to achieve a compliant dimmable product because it has more space for driver circuitry. Far from having “empty sockets”, EU consumers in the future will still be able to purchase replacement lamps and enjoy high quality lighting in their homes.

We conclude that it is technologically feasible to make good quality drivers for LED lamps in all six form factors – and there are still 1.5 years to design products that will meet the requirements. The concerns from the lighting industry to the new draft regulation might thus be more likely based on cost and profit margins rather than technological limits. For this reason, we examined the purchase prices of products that meet or did not meet the new P_{st}^{LM} and SVM requirements to see if there was any observable difference in price between models that meet the requirements and those that did not.

We looked at the commercially available designs today and found that there are good products in the market which have low P_{st}^{LM} and low SVM and which meet the requirements of the regulation. We analysed these drivers and found some design attributes which make them ‘state of the art’ designs.

We investigated the relationship between price and SVM, and found that while we had previously estimated a small incremental cost for the components on the driver to

create a 'state of the art' design, there was no discernible impact on the retail price that was evident from the lamps purchased for this study.

We looked at whether there was any impact on EMC emissions from the LED lamps in relation to the SVM values, and we did not find any correlation.

As of today, European consumers have no ability to differentiate between products, and yet virtually flicker-free versions of these lamps are sold on the same websites and store shelves as flickering versions. When the new regulation becomes law, EU citizens will continue to be able to purchase replacement lamps for all six of the socket types examined in this study. EU citizens will thus benefit from the fact that all LED products will have to be designed to meet the new requirements, ensuring all models offered on the market incorporate are of a quality design.

Appendix A. Additional A-type Lamp Testing

This Appendix presents the P_{st}^{LM} and SVM of non-directional LED lamps which are not clear – in other words, they are frosted / white so the LED light source is obscured. These are not included in the main body of the report because LightingEurope did not identify these as a product type that were at risk. However, in this study, we had 96 different models tested, and found that 65 of them (68%) already complied with the requirements for P_{st}^{LM} and SVM.

Looking more specifically at the dimmable vs. non-dimmable, we had 71 non-dimmable models tested, and found that 54 of those models (76%) already complied with both requirements. Of the dimmable A-type non-clear LED lamps, we had 25 different models and 11 of those (44%) comply with the P_{st}^{LM} and SVM requirements.

Figure 19 presents the scatter plot of all the lamps that have SVM less than 3.0. Note that there were no lamps found with P_{st}^{LM} greater than 1.11.

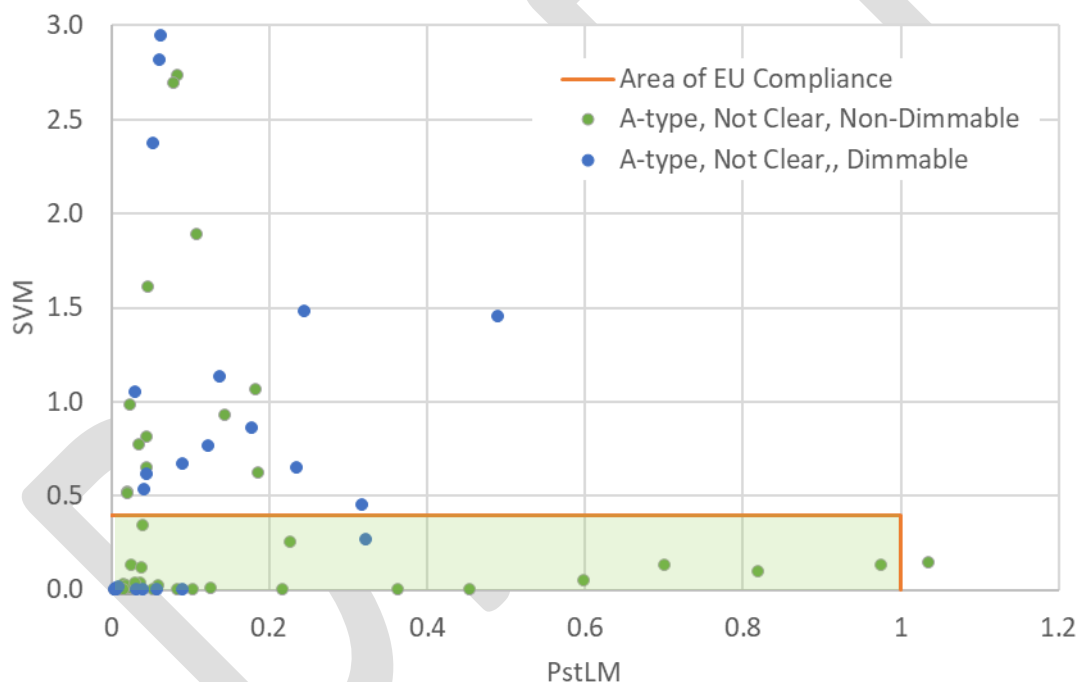


Figure 19. Scatter plot of the A-type, Non-Clear, LED Lamps on a graph depicting P_{st}^{LM} and SVM

Appendix B. Alphabetical Listing of Manufacturers from which LED lamps were sourced.

| Manufacturers | |
|----------------|----------------|
| Anslut | Littil |
| Art. 46-3316 | Luce Bella |
| Aurora Enlite | Lumidas |
| AwoX | Lvwit |
| BELL Lighting | Megaman |
| BonLux | Mirabella |
| Bright | MixiGoo |
| Brilliant | Muller Licht |
| CLA Lighting | MullerLicht |
| Clas Ohlson | n/a |
| Click | NASC |
| Crompton Lamps | Noxion |
| Crown | OSRAM |
| Ecobright | Philips |
| EcoLightUp | SAL |
| ELT | SanGlory |
| Emerald Planet | Sengled |
| Eyexiaotong | Soraa |
| GooBay | StarTrading |
| Green and co | Sunny Lighting |
| GRV | Sunseed |
| IKEA | Sylvania |
| Integral LED | Telefunken |
| Knightsbridge | Unison |
| Kosnic | Verbatim |
| LAP | Vintage LED |
| LEDARE | V-LIGHT |
| LEDvance | V-TAC |
| LiFx | XCSOURCE |